

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>		<b>U.S. PATENT DOCUMENTS</b>					
		<b>EXAMINER INITIAL</b>		<b>ATTY. DOCKET NO.</b> <b>005220.P001</b>			
		<b>APPLICANT</b> <b>David D. Faraldo II</b>		<b>SERIAL NO.</b> <b>To be assigned</b>			
		<b>FILING DATE</b> <b>Herewith</b>		<b>GROUP</b> <b>To be assigned</b>			
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RK	5   8   7   0   5   5   9	2/9/1999	Leshem, et al.		395	200-54	4/11/1997
RK	6   0   0   6   2   6   0	12/21/1999	Barrick, Jr., et al.		709	224	6/3/1997
RK	6   0   6   4   6   5   3	5/16/2000	Farris		370	237	1/7/1997
RK							
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<b>FOREIGN PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION
RK	0   0   2   2   5   3   4	4/20/2000	PCT		G06F	13/00	YES
RK							NO
RK							
RK							
RK							
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
RK		NetSaint web Homepage at <a href="http://www.netsaint.org">www.netsaint.org</a> , 24-Sep-2000, 3 pages.					
		TELALERT Datasheet, Telamon, Inc., Oakland, CA, 16-Jun-1999, 2 pages.					
RK							
RK							
<b>EXAMINER</b> <i>Rosa Kennedy</i>				<b>DATE CONSIDERED</b> <i>1/9/04</i>			
<b>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</b>							

JC925 U.S. PTO  
09/703437  
10/31/00

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>		<b>U.S. PATENT DOCUMENTS</b>		<b>ATTY. DOCKET NO.</b> <b>005220.P001</b>		<b>AL NO.</b> <b>09/703,437</b>			
		<b>APPLICANT</b> <b>David D. Faraldo II</b>		<b>FILING DATE</b> <b>10/31/00</b>		<b>GROUP</b> <b>2151</b>			
		<b>U.S. PATENT DOCUMENTS</b>							
		<b>*EXAMINER INITIAL</b> <i>LK</i>	<b>DOCUMENT NUMBER</b> 5 9 5 8 0 0 8	<b>DATE</b> 9/28/1999	<b>NAME</b> Pogrebinsky, et al.		<b>CLASS</b> 709	<b>SUBCLASS</b> 223	<b>FILING DATE IF APPROPRIATE</b> 4/11/1997
<i>LK</i>	6 0 0 3 1 4 3	12/14/1999	Kim, et al.		714	38	2/28/1997		
<i>LK</i>	6 0 1 2 0 8 7	1/4/2000	Freivald, et al.		709	218	5/20/1998		
<i>LK</i>	6 1 3 8 1 5 7	10/24/2000	Welter, et al.		709	224	10/12/1998		
<b>FOREIGN PATENT DOCUMENTS</b>									
	<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>		<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b> <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO		
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>									
<i>LK</i>		G. Trent and M. Sake, "WebStone: The First Generation in HTTP Server Benchmarking," printed from website at: <a href="http://www.mindcraft.com/webstone/paper.html">http://www.mindcraft.com/webstone/paper.html</a> . Feb 1995, 11 pages.							
<i>LK</i>		Almeida, Jussara, et al., "Measuring the Behavior of a World-Wide Web Server," in: Seventh Conference on High Performance Networking (HPN). April 1997. pp. 57-72							
<i>LK</i>		Senna, Jeff, "PageSentry keeps tabs on Internet servers," InfoWorld, 6/30/97, Vol. 19, Issue 26, page 82B.							
<i>LK</i>		Davis, Beth, "Web Services Help Measure Site Success," Communications Week, 9/30/96, Issue 631, 2 pages.							
<i>LK</i>		NFR® Intrusion Detection Appliance User's Guide, Version 5.0, Network Flight Recorder, Inc., October 2000.							
<i>LK</i>		Ranum, Marcus J., et al., "Implementing a Generalized Tool for Network Monitoring," 1997 LISA XI Conference, October 26-31, 1997 - San Diego, CA, pages 1-8.							
<b>EXAMINER</b> <i>Rosa Kennedy</i>			<b>DATE CONSIDERED</b> <i>11/9/04</i>						

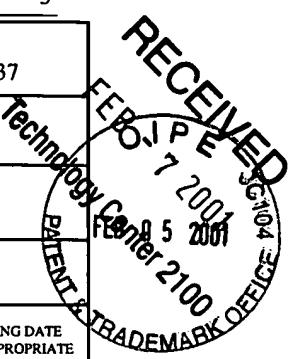
**\*EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>		<b>U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>		<b>ATTY. DOCKET NO.</b> <b>005220.P001</b>	<b>AL NO.</b> <b>09/703,437</b>		
		<b>APPLICANT</b> <b>David D. Faraldo II</b>		<b>FILING DATE</b> <b>10/31/00</b>		<b>GROUP</b> <b>2151</b>	
		<b>U. S. PATENT DOCUMENTS</b>					
		<b>*EXAMINER INITIAL</b>	<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>
<b>FOREIGN PATENT DOCUMENTS</b>							
	<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b> <b>YES</b> <b>NO</b>	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
<i>RK</i>		LaLiberte, & Braverman, "A Protocol for Scalable Group and Public Annotations," from website <a href="http://www.igd.fhg.de/archive/1995_www95/proceedings/papers/100/scalable-annotations.html">www.igd.fhg.de/archive/1995_www95/proceedings/papers/100/scalable-annotations.html</a> , 10 pgs.					
		Marc Abrams & Stephen Williams, "Complementing Surveying and Demographics with Automated Network Monitoring," 17Jun'96, <a href="http://vttopus.cs.vt.edu/~chitra/docs/9623j/96w3j.html">http://vttopus.cs.vt.edu/~chitra/docs/9623j/96w3j.html</a> , 22 pages.					
<i>RK</i>		Monk, Tracie, et al., "Internet Data Acquisition & Analysis: Status & Next Steps," from website: <a href="http://www.caida.org/outreach/papers/data-inet97.html">www.caida.org/outreach/papers/data-inet97.html</a> , March 21, 1997, 16 pages.					
		Sedayao, Jeffrey, C. "IPPM Mail Archives: draft of end to end metrics (ICMP, HTTP) document, from website: <a href="http://www.advanced.org/IPPM/archive.1/0226.html">http://www.advanced.org/IPPM/archive.1/0226.html</a> , 26Nov'96, 14 pages.					
<i>RK</i>		Cottrell, L. & Logg, C., "Network Monitoring for the LAN and WAN," Talk given at ORNL, June 25, 1996, from website: <a href="http://www.slac.stanford.edu/grp/scs/net/talk/ornl-96/ornl.htm">www.slac.stanford.edu/grp/scs/net/talk/ornl-96/ornl.htm</a> , 16 pages.					
<b>EXAMINER</b> <i>Rose Kennedy</i>			<b>DATE CONSIDERED</b> <i>1/9/04</i>				



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Form PTO-1449 (REV. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 005220.P001	MAIL NO. 09/703,437
INFORMATION DISCLOSURE CITATION		APPLICANT David D. Faraldo II	
<i>(Use several sheets if necessary)</i>		FILING DATE 10/31/00	GROUP 2151



## U.S. PATENT DOCUMENTS

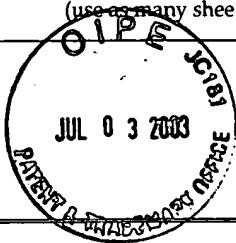
## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

RK		<p>Installation and Configuration Manual for Big Brother Systems and Network Monitors, Ver. 1.6b, Jan3'01 and <b>READ.ME.CHANGES</b> file to versions since Beta 0.91, 18Nov'96, printed from website: <a href="http://bb4.com">http://bb4.com</a>; 34 pages and 12 pages respectively.</p>
RK		<p>Fielding, Roy T., "Maintaining Distributed Hypertext Infostructures: Welcome to MOMspider's Web.," Paper presented at WWW94, Geneva, Switzerland, May 25-27, 1994, printed from website: <a href="http://www.ics.uci.edu/pub/websoft/MOMspider/www94/paper.html">www.ics.uci.edu/pub/websoft/MOMspider/www94/paper.html</a>; 24 pages.</p>
RK		<p>Almeida, JM; Almeida, V; and Yates, D. "WebMonitor: a Tool for Measuring World-Wide Web Server Performance," April 1997, printed from website: <a href="http://www.firstmonday.dk/issues/issues2_7/almeida/">www.firstmonday.dk/issues/issues2_7/almeida/</a>; 24 pages.</p>

EXAMINER <i>Les Kennedy</i>	DATE CONSIDERED 1/9/64
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 5220.P001	Application Number: 09/703,437
		First Named Inventor: David D. Faraldo	
		Filing Date: 10/31/00	

#### U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
RK	<del>AA</del>	5,748,884		Royce et al.	5/5/98	
RK	<del>AA</del>	5,754,111		Garcia	5/19/98	
RK	<del>AA</del>	5,949,976		Chappelle	9/7/99	

#### FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
RK	<del>AA</del>	PCT	WO 00 19320					

Examiner Signature	Rosa Kennedy	Date Considered	1/9/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

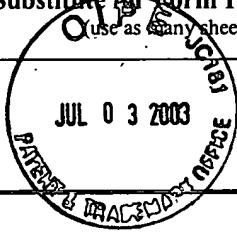
<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 5220.P001	Application Number: 09/703,437
		First Named Inventor: David D. Faraldo II	
		Filing Date: October 31, 2000	

#### OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
LK	<i>LK</i>	TelAlert UMS 5.2 Quick Start Guide, 2000, Telamon, Inc., TA-TD-002-3, 32 pages.	
LK	<i>AK</i>	Jeffrey A. Fox et al., "Web-Based Automated Reporting: Saving Time, Money, and Trees", 2 <sup>nd</sup> International Symposium on Spacecraft Ground Control and Data Systems, February 1999 (1999-02), XP002227342 Foz de Iguacu, Brasil.	
LK	<i>AK</i>	International Search Report, International application no. PCT/US 01/46117, International Filing date 10/18/01.	

Examiner Signature	<i>Lesa Kennedy</i>	Date Considered	1/9/04
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<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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